

LICENCE - RIDER'S AGENT

(From 1 July 2020 to 30 June 2021)

Licence Fee: \$330 or for Metro Members \$710

- Please follow the below instructions and return to Racing NSW:
 - Complete section 1 to 9,
 - Acknowledge and Sign section 10,

1	SURNAME:																								
	GIVEN NAMES:																								
	DATE OF BIRTH:																								
	PLACE OF BIRTH::																								
	PREFERRED NAME:																								
	RESIDENTIAL ADDRESS:																								
	SUBURB:																								
	STATE:			POSTCODE:																					
	HOME PHONE NO:																								
	WORK PHONE NO:																								
	FAX NO:																								
	MOBILE NO:																								
	EMAIL ADDRESS:																								
	POSTAL ADDRESS:																								
SUBURB:																									
STATE:			POSTCODE:																						

NB: ANY CHANGES OF THESE DETAILS MUST BE NOTIFIED TO RACING NSW IMMEDIATELY.

Please pay by Cheque, Money order, Credit Card or by direct deposit to Racing NSW (BSB 062000 Acc No 1442 1777). If paying by direct deposit please attach a receipt to your application as evidence of payment. This tax invoice becomes a remittance advice upon payment

				Expiry Date:					
Cardholder's Name:							CVN:		
							Amount:		

OFFICE USE ONLY

Rec. No	Date Received	Amount Paid	Data Entry
			HORSES:

Complete section 2 to 9 below:

2

Jockey/Client List			
Jockey's Name	Area/s or Regions in which you Act as an Agent	Area/s or Regions in which you Act as an Agent	Area/s or Regions in which you Act as an Agent
1.		4.	
2.		5.	
3.			

3

Names of any other person assisting you as a Rider's Agent?	1..... 2..... 3.....
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4

Do you currently hold, or have you previously been issued a licence with another Principal Racing Authority?	If you answer Yes to any questions from 4 to 9 please provide details
	No <input type="checkbox"/> Yes <input type="checkbox"/> If Yes, with which Racing Authority? Date Issued (From): (To):

5

Are you currently under any ban/restriction imposed by any Racing Authority (except careless riding suspensions)?	No <input type="checkbox"/> Yes <input type="checkbox"/>
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6

Are there any charges pending against you, or any open or unfinished inquiries, investigations or matters before any Racing Authority involving you?	No <input type="checkbox"/> Yes <input type="checkbox"/>
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7

Have you ever had a licence disqualified, revoked, suspended, withdrawn, refused by any Racing Authority?	No <input type="checkbox"/> Yes <input type="checkbox"/>
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9

Have you in the last 10 Years been charged with or convicted of a criminal offence, forfeited bail or are there any charges or civil proceedings pending against you? (You may be required to provide a National Police Certificate) ***Please note condition b) of this licence: you are required to notify Racing NSW within 14 days if you are charged with or convicted of a criminal offence (LR51(2)) refers	No <input type="checkbox"/> Yes <input type="checkbox"/>
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Read and acknowledge the below and sign in section 10:

<p>TERMS AND CONDITIONS OF LICENCE: The rider's agent acknowledges and agrees to be subject to and be bound by:</p> <ul style="list-style-type: none"> a) The Rules of Racing of each Principal Racing as amended or varied by each Principal Racing Authority from time to time. This includes but is not limited to AR119 & 120, AR237 17B as well as Local Rules of Racing LR71A and LR110A&B; b) That I shall notify Racing NSW within 14 days if I am charged with a criminal offence, convicted of a criminal offence or am made bankrupt or I become the subject of a court judgement requiring payment to a racing industry participant or provider, and acknowledge that if I fail to comply with this condition or the other terms and conditions of my licence I may be penalised and/or stood down from my licensed duties and privileges (LR51 (2)) c) The terms and conditions of licence and licence acknowledgements as published by each Principal Racing Authority d) Such rules and directions as may from time to time be formed, made or given by a Principal Racing Authority, the stewards of each Principal Racing Authority ("Stewards") or the officials of any racing club registered by each Principal Racing Authority to conduct thoroughbred racing under the Rules ("Club") e) The rider's agent submits to the non-exclusive jurisdiction of each Principal Racing Authority, its officials and Stewards in respect of all matters arising in relation to racing in the State or Territory of that Principal Racing Authority.. f) A Rider's Agent shall represent only persons in respect of whom he/she has obtained specific approval of Racing NSW. g) Not to make any public statement or comment concerning any matter currently the subject of investigation or hearing by a Principal Racing Authority, or the Stewards, Committee or Appeals panel or other body authorised by each. h) When applying initially for a Rider's Agent's licence a person shall submit a Rider's Agent/Jockeys agreement form completed by the rider/s or apprentice master/s in respect of whom he/she seeks to be licensed, and subsequently shall make further similar application for the variation of his/her licence to include any potential additional clients. i) A licensed Rider's Agent shall as soon as practical notify in writing the Licensing Supervisor or the Chairman of Stewards in the event of the termination of his/her contract with a jockey or apprentice master. j) In the event of any contract or agreement between a Rider's Agent and his/her client being in dispute, the parties to such contract or agreement shall seek their remedy in the course of contract law. k) A Rider's Agent who represents an Apprentice Jockey agrees that the Master of the Apprentice is responsible for the contracting of, and the fees payable to the Riders Agent. For this purpose the Rider's Agent is required to forward invoices for payment to the Apprentice's Master only

- l) No licensed Rider's Agent shall without the express permission of Stewards communicate in any way with his/her nominated rider while such rider is in any restricted area during any race meeting.
- m) No licensed Rider's Agent shall be party to any other person soliciting for riding engagements for his/her client in any restricted area on a racecourse during the currency of a race meeting.
- n) That I shall not be exempted from personal liability arising under the Rules for or by any reason whatsoever.
- o) A Rider's Agent is permitted to register agreements with no more than five Jockeys or Apprentices who ride regularly in NSW and further that a Rider's Agent is permitted to register an agreement with no more than four Jockeys or Apprentices who ride predominately in the same area of NSW. For this purpose, unless otherwise determined by Racing NSW, a Jockey or Apprentice who has ridden in 30 or more races in this State in either the current or the previous season will be considered as a Jockey/Apprentice who rides regularly in NSW.
- p) Subject to AR.278(1) if a licensed person is disqualified his or her licence immediately ceases and determines and he or she must make application to the Principal Racing Authority to be relicensed.
- q) A disqualified person is and remains bound by, and subject to, the Rules for the period of his or her disqualification.

GST

When the licensee is GST registered, the following agreement is given:

- r) The recipient may issue tax invoices in respect of the specified supplies.
- s) The supplier will not issue tax invoices in respect to those supplies.
- t) The supplier acknowledges that it is registered when it enters into the agreement and that it will notify the recipient if it ceases to be registered.
- u) The recipient acknowledges that it is registered when it enters into the agreement and that it will notify the supplier if it ceases to be registered.

PRIVACY AND THE USE, COLLECTION AND DISCLOSURE OF YOUR PERSONAL INFORMATION

The Principal Racing Authorities collect information about you when you submit this Application and in the course of related enquiries made of third parties for the purposes of the Thoroughbred Racing Act 1996 (NSW). The Principal Racing Authorities will use that information to assess your application and, if registration is approved, your ongoing status as a licensed person. To do that, the Principal Racing Authorities may disclose your information to third parties such as your employers (past, present or prospective), other racing bodies, appeal bodies, wagering service providers, industry associations and government enforcement agencies (including but not limited to law enforcement agencies) if the Principal Racing Authorities believe that the disclosure is reasonably necessary for your ongoing status as a licensed person or to enable PRAs to fulfil their regulatory responsibilities and/or promote and protect the integrity of the sport to ensure compliance with the Rules of Racing. The Principal Racing Authorities may also use or disclose information about you for a secondary purpose if they reasonably believe that the secondary use or disclosure is reasonably necessary for one or more enforcement related activities conducted by, or on behalf of, an enforcement body. You do not have to supply the information requested in this application, but if the information (or any part of it) is not provided your application may be rejected. You can gain access to and request that corrections be made to information held about you by the Principal Racing Authorities. By completing and submitting this application, you authorise the Principal Racing Authorities to collect, use and disclose information about you for the purposes described in this notice, including the usual publication of such information in race books, racing calendars and other publications that can include (but are not limited to) websites.

Intellectual property

I agree that the Principal Racing Authorities will own all intellectual property in the information I submit with and in connection with this application for, and the grant and future maintenance of, the Licence. , and I hereby assign to the Principal Racing Authorities (and warrant that I am authorised to provide and assign) all such intellectual property and information and acknowledge that the Principal Racing Authorities may use (including by collating, modifying, publishing and distributing) all such intellectual property and information as it sees fit including" Note: for Licensed Persons this information relates to the usual publication of material in race books, racing calendars, publications and websites etc. Any information outside this standard will not be released by Racing NSW without the written permission of the Licensed person.

Criminal History Record Check

All new licence applicants are subject to Criminal History Record checks. Existing licensees may also be requested to undergo such checks. The information contained in these records may lead to the licence application being refused.

I certify that to the best of my knowledge and belief the particulars as outlined in this application are true and correct. I also declare that I understand that it is a serious offence under the Rules to make a false declaration and that the failure to accurately answer these questions may lead to this application being refused

10

Rider's Agent (Signature):	Today's Date:
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AR 119 Engagements for apprentice jockeys to be approved by master

All engagements for an apprentice jockey to ride in races must be approved by his or her master or that master's authorised representative.

AR 120 Rider's agents

- (1) A person must not act in the capacity of rider's agent unless the person has been licensed by a PRA to do so.
- (2) A person licensed under the Rules in another capacity cannot also be licensed as a rider's agent, except that:
 - (a) a licensed or registered stablehand can also be licensed as a rider's agent; or
 - (b) a PRA in its discretion may permit that to occur.
- (3) A jockey, apprentice jockey or the master of an apprentice jockey must not authorise any person to be his or her rider's agent unless that person has been licensed by a PRA as a rider's agent.
- (4) A rider's agent:
 - (a) must not, without the permission of the Stewards, enter any restricted area on a racecourse on race day;

- (b) who breaches subrule (a) may be removed from that area by order of the Stewards.
- (5) A PRA must publish a list of the persons it has licensed as rider's agents.

AR 237 Prohibition on persons laying horses

- (1) A trainer must not lay any horse that is either under the trainer's care, control or supervision, or has been at any time in the preceding 21 days.
- (2) A person employed by a trainer in connection with the training or care of horses must not lay any horse under the care, control or supervision of the trainer for whom the person is or was employed while employed and for a period of 21 days after ceasing to be employed.
- (3) An owner or nominator must not lay any horse that is or may be entered by that owner or nominator or on that person's behalf, provided that a bookmaker may lay a horse in accordance with the bookmaker's licence.
- (4) A rider's agent must not lay any horse to be ridden by a rider for whom that person is an agent.
- (5) A person who has provided a service/s connected with the keeping, training or racing of a horse must not lay that horse within 21 days of last providing that service.
- (6) A person must not offer an inducement to a participant in racing with the intention of profiting from a horse not participating in an event to the best of its ability.
- (7) In circumstances where it is a breach of this rule for a person to lay a horse, it is also a breach of this rule for that person to:
 - (a) have a horse laid on his or her behalf; or
 - (b) receive any money or other valuable consideration in any way connected with the laying of the horse by another person.

LR71A - Except with the written permission of Racing NSW, any rider's agent who:

- (a) bets, has an interest in a bet, or facilitates a bet; or
- (b) provides either directly or indirectly to any person for any direct or indirect financial or other benefit (regardless of whether such benefit materialises) any tip, or any other information or advice that may influence any person to bet,

on any NSW race in which a rider whom the rider's agent represents (in accordance with AR1) is engaged to ride, commits an offence and may be penalised. For the purposes of this rule, a bet includes a lay bet.

LR 110A.

- (1) A person who possesses inside information in connection with a horse that is entered in a race can be penalised if the person:
 - (a) in the case of a bookmaker, accepts a bet on the subject horse;
 - (b) has a lay bet or an interest in a lay bet on the subject horse and/or has a bet or an interest in a bet on another horse in the subject race;
 - (c) encourages another person to have a lay bet or an interest in a lay bet on the subject horse and/or to have a bet or an interest in a bet on another horse in the subject race; or
 - (d) communicates the information, directly or indirectly, to any person who the first person knows or ought reasonably to know would or would be likely to bet on the race in which the horse is to run, provided that it is not an offence to:
 - (i) make the information publicly available; or
 - (ii) communicate the information to the horse's owner, trainer, trainer's representative or a stable employee or service provider engaged by the trainer or owner and actively and necessarily involved in the care or management of the subject horse.
- (2) For the purposes of this rule:
 - (a) Information is "*inside information*" about a horse if:
 - (i) it is not publicly available; and
 - (ii) it would or would be likely to influence persons who commonly bet in deciding whether or not to bet on the horse or making any other betting decision in respect of a race in which the horse is to be run.
 - (b) Information is "*publicly available*" if:
 - (i) it consists of a matter that is readily observable or known by the public;
 - (ii) it has been made known in a manner that would or could bring it to the attention of the public; or
 - (iii) it consists of deductions, conclusions or inferences made or drawn from information referred to in paragraph (i) or (ii).
 - (c) "*Lay*" has the meaning given to that term in AR175B(7). [Rule added 10.7.13]

LR 110B

(1) A trainer, jockey, stablehand or any other person engaged or employed in the training or racing of thoroughbred horses must not:

- (a) enter into an arrangement, agreement or understanding with a wagering operator or a person representing a wagering operator that involves that wagering operator referring to, basing on or seeking to link to any advertising, commentary or promotions with that person;
- (b) enter into an arrangement, agreement or understanding with a wagering operator or a person representing a wagering operator that confers special privileges or concessions which are prejudicial to the image of racing;
- (c) accept any gift or inducement that might impair that person's judgment or be perceived to be a conflict of interest, bribe or inappropriate gift;
- (d) prefer the interests of one owner or group of owners to the interests of another owner or group of owners in the care or management of horses owned by different owners;
- (e) put that person's secondary interest before or above his or her primary interest; or
- (f) be influenced by his or her secondary interest over his or her primary interest.

(2) For the purposes of this rule:

(a) a "*primary interest*" is the activity for which the person holds a licence or permit from Racing NSW or is engaged in or associated with thoroughbred racing; and

(b) a "*secondary interest*" is:

- i. any material reward, gift, favour or benefit in kind not directly arising from or in addition to the primary interest;
- ii. any favour for any immediate family member or other person with whom the person has a close professional or personal relationship.

(3) For the purposes of this rule, an owner who holds a financial interest in the horse only and does not play any active role in the training or racing of the horse is not subject to sub-rule (1).

(4) A bookmaker is not to refer to, base on or seek to link to any advertising, commentary or promotions in any form of media including social media to a family member or other person with whom the person has a close personal or professional relationship engaged in or associated with the training or racing of thoroughbred horses, other than in circumstances where such reference is in the normal course of the bookmaker's wagering operations. [rule added 10.7.13]