

RFIU
2026/27
FEE = \$400



Racing NSW

APPLICATION FORM

NSW Thoroughbred Race Field Information Use

2026-27 Australian Wagering Operators

Section 33B, Betting and Racing Act 1998 (NSW)

Only use this form if you hold a wagering licence under the laws of an Australian State or Territory. Where insufficient space is provided for any section, please attach a separate sheet identifying the section. Each separate sheet must be signed and dated by the person signing this application.

SECTION 1: Details of Entity Seeking to Use NSW Thoroughbred Race Field Information

Applicant Name (Sole Trader or Company Name)			
ABN / ACN:		Date of Incorporation:	
Registered Office Address:			
Reg. State:		Reg. Post Code:	
Postal Address:			
State:		Post Code:	
Contact Name:			
Email Address:			
Telephone (Office):			
Mobile:			

Type of Business:

☐ Sole Trader ☐ Company ☐ Partnership ☐ Other

If 'Other', please describe:

Australian State or Territory where licensed:

(attach a separate list if you hold more than 4 licences)

State	Licensing Authority	Years Licensed	Licence No.

Submit to: Race Fields Department, Racing NSW

E | rf@racingnsw.com.au P | (02) 9551 7500 A | Level 7, 51 Druiitt Street, Sydney NSW 2000

SECTION 2: Description of Operations

Please provide details where applicable. Attach a separate sheet if more space is required.

2.1	On-course bookmaking at licensed racecourses during race meetings (provide State and Racecourse names):
2.2	On-course betting office at licensed racecourse/s (provide State/s):
2.3	Off-course Approved Betting Office / Premise (provide State/s, address and location of approved premise):
2.4	Off-course betting (provide State/s, type of wagering e.g. totalizator, fixed-odds, betting exchange, and wagering distribution channels e.g. outlets, telephone, internet):
2.5	Other operations (please describe):
2.6	General description of daily operations:

SECTION 3: Details of Wagering Products and Proposed Use

Please provide details of all wagering types or services intended to be offered or facilitated involving NSW Thoroughbred Race Field Information:

3.1 Channels:

On-course face-to-face betting	☐ Yes	☐ No
Off-course face-to-face betting	☐ Yes	☐ No
Telephone betting	☐ Yes	☐ No
Internet (if Yes, provide details of all websites in the space below)	☐ Yes	☐ No
Other, e.g. interactive television, mobile App (if Yes, provide details below)	☐ Yes	☐ No

If Yes to Internet or Other above, provide details here:

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3.2 Wagering Types (indicate all types offered):

Totalizator (Pari-mutuel)	☐ Yes	☐ No
Fixed-odds	☐ Yes	☐ No
Totalizator Derived Odds	☐ Yes	☐ No
Betting Exchange	☐ Yes	☐ No
Other	☐ Yes	☐ No

3.3 Products (tick all that apply):

☐ Win	☐ Each Way	☐ Place	☐ Win/Place
☐ Doubles	☐ Quinella	☐ Futures	☐ Future Doubles
☐ Tote Odds	☐ Concession	☐ Head to Head	☐ Favourite Out
☐ Jockey/Trainer Challenge	☐ Multis Racing Only	☐ Multis incl. Sports & Other	☐ Ante Post

Other Products / Bet Types (provide details):

3.4 Detail the proposed use of NSW Thoroughbred Race Field Information:
(how often; manner of use; whether online and if so full web address; whether recorded electronically or in hard copy)

SECTION 4: Wagering Turnover

4.1 Forecast NSW Thoroughbred Turnover for FY2027 (year ending 30 June 2027):

Race Meeting Type	Fixed-Odds / Other Turnover (\$)	Totalisator Derived Odds Turnover (\$)	
Under Exempt Threshold (@ 1%)			
Standard Race Meetings (@ 2.0%)		@ 2.5%	
Premium Race Meetings (@ 2.5%)		@ 3.0%	
Premier Race Meetings (@ 3.0%)		@ 3.5%	

***Clause 2.1(a) Standard Conditions 2026-27:** The Approval Holder must pay to Racing NSW a fee equal to the following percentages of NSW Thoroughbred Turnover: (1) 1% of Under Exempt Threshold Turnover; (2) Over Exempt Threshold — (a) 2% Standard Race Meetings Fixed-Odds; (b) 2.5% Premium Fixed-Odds; (c) 3% Premier Fixed-Odds; (d) 2.5% Standard Totalizator Derived Odds; (e) 3% Premium Totalizator Derived Odds; (f) 3.5% Premier Totalizator Derived Odds.

Notes: "Turnover" means the total amount of wagers on the backer's side of wagering transactions. "NSW Thoroughbred Turnover" means Turnover in respect of wagering on NSW Thoroughbred Races (or related contingencies). "Exempt Turnover Threshold" means \$5 million per full Financial Year (adjusted pro-rata for partial years).

Is there any additional information you consider Racing NSW should be aware of regarding the above forecast?

4.2 Historical Turnover:

In respect of each of the past 3 Financial Years (i.e. years ended 30 June 2024, 30 June 2025, and 30 June 2026), has the applicant Used NSW Thoroughbred Race Field Information? If so, provide details and explain why a previous application has not been submitted to Racing NSW:

4.3 Bet Backs:

Note: A "Bet Back" means a wager placed to genuinely reduce or lay-off the Applicant's liability on a fixed-odds wager already accepted, on the same contingency, where the amount the Applicant can win does not exceed the amount the Applicant stands to lose on that original wager.

Anticipated percentage level of Bet Backs for FY2027:

(a) Names of Australian Wagering Operators with whom Bet Backs anticipated to exceed \$100,000 p.a. in total:

Name of Australian Wagering Operator	Estimated Annual Amount (\$)

SECTION 5: Compliance

5.0 Please provide details of your policies and procedures in place to:

5.1 Establish the identity of customers establishing wagering accounts:

5.2 Identify and report suspect wagering transactions:

5.3	Identify and report customers or applicants for betting accounts that may be disqualified or warned off by any racing authority (in New South Wales or elsewhere):

SECTION 6: Personnel — Key Employees and Close Associates

All relevant personnel must be listed below (attach a separate list if required). The Applicant must provide details for itself and, after making all reasonable enquiries, for key employees and close associates.

6.1 Key Employees:

A Key Employee means a person who is:
 (a) employed in a managerial or supervisory capacity in relation to the conduct of wagering; or
 (b) authorised to make decisions involving discretion that regulate the wagering operations; or
 (c) concerned or engaged in wagering operations.

Full Name	Date of Birth	Position	Years Held

6.2 Close Associates (include Company Directors in this section):

A Close Associate is a person who:
 (a) holds or will hold any relevant financial interest, or is entitled to exercise any relevant power, in the business of the applicant or holder, and by virtue of that interest or power is or will be able to exercise significant influence over the conduct of that business; or
 (b) holds or will hold any relevant position in the business.

Full Name	Date of Birth	Position	Years Held

SECTION 6 (continued): Personal and Licence History

The Applicant must provide details of the following matters in relation to itself and, after making all reasonable enquiries, in relation to its key employees and close associates (as listed in Sections 5.1 and 5.2):

- criminal history;
- any prosecutions which have been commenced against the Applicant, a key employee or a close associate; or
- any disciplinary action taken under any legislation or any rules of racing or betting (whether in New South Wales or elsewhere) against the Applicant, a key employee or a close associate.

6.3 Probity (criminal history and prosecutions)

Has the Applicant, any key employee or close associate, or a company of which they have been a key employee or close associate:

(a)	In the last 10 years, been convicted of any offence, under their own name or any other name?	☐ Yes ☐ No
If so, provide details:		
(b)	In the last 10 years been on, or is now on, a bond or recognizance?	☐ Yes ☐ No
If so, provide details:		
(c)	Have any charges, criminal prosecution or civil proceedings against them currently pending?	☐ Yes ☐ No
If so, provide details:		
(d)	In the last 10 years forfeited bail?	☐ Yes ☐ No
If so, provide details:		
(e)	Ever been convicted of any indictable offence, or banned as a director by the Australian Securities and Investments Commission (ASIC)?	☐ Yes ☐ No
If so, provide details:		
(f)	Ever been proceeded against for Bankruptcy and/or taken advantage of the laws of Bankruptcy or Insolvency?	☐ Yes ☐ No
If so, provide details:		

6.4 Disciplinary Proceedings — rules of racing or rules of betting

Has the Applicant, any key employee or close associate, or a company of which they have been a key employee or close associate:

(a)	Ever had a licence disqualified, revoked, suspended, withdrawn, refused, or been listed as a defaulter by any Racing Authority?
If so, provide details:	

SECTION 7: Related Australian Wagering Operators

An Australian Wagering Operator is considered '**Related**' to the Applicant if: (a) they are related bodies corporate; (b) one Controls the other; (c) they are both Controlled by the same person or group; (d) one is a Key Employee, director or 'associate' of the other; (e) they have a common Key Employee or common director; (f) one is a partner in a partnership wagering operation of the other; (g) a Key Employee or director of one is a partner of the other; (h) they are acting in concert in relation to activities under their respective Australian Wagering Licences or the taking of wagers on NSW Thoroughbred Racing; or (i) they are 'Related' to a common person under (a)–(h).

7.1 Related Australian Wagering Operators:

Is the Applicant 'Related' to any other Australian Wagering Operator?

☐ Yes

☐ No

(If No, proceed to Section 8)

If Yes, for each Related Australian Wagering Operator provide details:

Name of Related AWO	Nature of Relationship	Licence Details	Related Since

Note: A separate Application is required for each Australian Wagering Operator, even if Related.

7.2 Allocation of Exempt Turnover Threshold:

- Where a number of Australian Wagering Operators are 'Related', a single exempt turnover threshold of \$5 million applies to the entire 'Group'.
- For example, if two Related companies each hold approvals for a full financial year, they collectively pay fees on their combined NSW Thoroughbred Turnover exceeding \$5 million — they are not each entitled to a separate \$5 million exemption.
- A nominated allocation is only recognised if the total does not exceed \$5 million and all members nominate the same allocation (see clause 2.2 of the Standard Conditions).

Does the Applicant wish to nominate an Exempt Turnover Threshold allocation for each Group member?

☐ Yes

☐ No

If Yes, specify the allocation to each member of the Group:

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Company Applicants — Required Documents:

- ASIC Historical Company Extract (dated within 7 days) for the Company and any company with a direct or indirect shareholding.
- Constitution for the Company and any company with a direct or indirect shareholding.
- Certificate of Incorporation for the Company and any company with a direct or indirect shareholding.
- Signed Terms and Conditions of the NSW Thoroughbred Race Field Information Use Approval.
- Any other document relating to integrity, probity, or fitness and propriety requirements as requested by Racing NSW.

SECTION 8: Definitions

Term	Definition
Turnover	The total amount of wagers placed on the backer's side of wagering transactions made in connection with a race or class of races. For betting exchanges, the backer's side of all transactions through the exchange counts as the operator's Turnover, even if that person is not a party to the contract.
NSW Thoroughbred Turnover	Turnover in respect of wagering transactions relating to NSW Thoroughbred Races (or contingencies related to NSW Thoroughbred Races). See the Standard Conditions for the allocation of turnover on bet types involving multiple contingencies.
Totalizator Derived Odds	As defined in clause 8(3) of the Betting and Racing Regulations 2012 (NSW).
Exempt Turnover Threshold	\$5 million per full Financial Year (adjusted pro-rata for any period that is not a full Financial Year) — see clause 2.2 of the Standard Conditions.
Premier Race Meeting	A race meeting at which at least one race offers a total prize pool of \$1,000,000 or more.
Premium Race Meeting	A race meeting (that is not a Premier Race Meeting) at which at least one race offers total prize money of \$150,000 or more.
Standard Race Meeting	Any race meeting that is not a Premium or Premier Race Meeting.
Bet Back	A wager placed to genuinely reduce or lay-off the Applicant's liability on a fixed-odds wager already accepted by the Applicant, on the same contingency in relation to the NSW Thoroughbred Race, where the amount the Applicant can win does not exceed what they stand to lose on the original wager.
Australian Wagering Operator	A person who holds a wagering licence granted under the laws of an Australian State or Territory.
Key Employee	A person (whether or not appointed under a contract of service) who is: (a) employed in a managerial or supervisory capacity in relation to the conduct of wagering; (b) authorised to make decisions involving discretion that regulate wagering operations; or (c) concerned or engaged in wagering operations of the approval holder or applicant.
Close Associate	A person who holds or will hold any relevant financial interest or relevant position in the business of the applicant or holder, by virtue of which they are or will be able to exercise significant influence over the conduct of that business.

SECTION 9: Privacy Statement

Racing NSW collects personal and financial information to perform its role as the Principal Racing Authority in accordance with the *Thoroughbred Racing Act 1996* (NSW), the *Betting and Racing Act 1998* (NSW), and other relevant legislation. We are bound by the *Privacy Act 1988* (Cth) and comply with the Australian Privacy Principles (APPs) set out in Schedule 1 of the Privacy Act, which govern the way in which we collect, use, disclose, store, secure and dispose of your Personal Information.

Racing NSW will use that information to assess your application and, if approved, your ongoing status as an Approval Holder. To do that, Racing NSW may disclose your information to third parties such as other racing bodies, appeal bodies, wagering service providers, industry associations and government enforcement agencies where reasonably necessary for those purposes or to fulfil its regulatory responsibilities and promote and protect the integrity of the sport.

You do not have to supply the information requested in this application, but if the information (or any part of it) is not provided your application may be rejected. You can gain access to and request that corrections be made to information held about you by Racing NSW. By completing and submitting this application, you authorise Racing NSW to collect, use and disclose information about you for the purposes described in this notice, including the usual publication of such information in race publications (including websites). To access your information, contact the Privacy Officer at: Level 7, 51 Druiitt Street, Sydney NSW 2000.

SECTION 10: Declaration

By signing and submitting this application, I declare and acknowledge that:

- ☐ I am authorised to make this declaration and submit this application on behalf of the Applicant.
- ☐ The information contained in this application, and any supporting material provided to Racing NSW, is true, accurate, complete and not misleading (in either its content or by omission).
- ☐ I have made reasonable enquiries of the Applicant's Key Employees and Close Associates regarding the matters disclosed in this application, including those referred to in Section 5.
- ☐ I understand that Racing NSW may request additional information or documentation in connection with this application, and I agree to provide any such information within the timeframe specified by Racing NSW.
- ☐ The Applicant acknowledges that, if this application is approved, the approval will be subject to the NSW Thoroughbred Race Field Information Use Standard Conditions, as amended from time to time and available on the Racing NSW website (www.racingnsw.com.au), and that the Applicant agrees to comply with those conditions.
- ☐ The Applicant acknowledges that it has an ongoing obligation to notify Racing NSW immediately upon becoming aware of any Notifiable Event or any other matter that may affect the Applicant's eligibility for approval or its compliance with the Standard Conditions.
- ☐ To the best of my knowledge and belief, there are no matters that have not been disclosed to Racing NSW which may be relevant to the assessment of this application.
- ☐ I acknowledge that I have read this application in full, including the Privacy Collection Statement set out in Section 9.

This application is dated:

Sole Trader / Individual:

Signed by the Applicant in the presence of a Witness:

Name (print)	Date	Signature
Applicant	Applicant	Applicant
Witness	Witness	Witness

Company or Partnership:

Two signatories are required (Director/Secretary + Director):

Name (print)	Date	Signature
Director / Secretary	Director / Secretary	Director / Secretary
Director	Director	Director
Director (2nd signatory if required)	Director (2nd signatory if required)	Director (2nd signatory if required)

Submission:

Forward this form together with the Application fee (\$400) to: RFIU Application, Racing NSW, Level 7, 51 Druiitt Street, Sydney NSW 2000

CHECKLIST — Before Submitting Your Application

- | |
|---|
| ☐ Referred to the Betting and Racing Act 1998 (NSW) |
| ☐ Referred to the Betting and Racing Regulations 2012 (NSW) |
| ☐ Referred to the Unlawful Gambling Act 1998 (NSW) — in particular Clauses 8(3) and 8(4) |
| ☐ Application is appropriately signed and witnessed |
| ☐ Copy of Wagering Licence / Authority attached |
| ☐ If Company: ASIC Historical Company Extract (dated within 7 days), Constitution, and Certificate of Incorporation attached |
| ☐ Application fee of AUD \$400 has been deposited by EFT or Credit Card details enclosed |
| ☐ Full contact details of the applicable responsible officer (including Email address) included |

PAYMENT DETAILS — Application Fee \$400

Please pay by EFT or Credit Card:

Racing NSW Bank Details (EFT):

BSB: 062 000 | Account No: 15 086 835

Card Type (circle):	Mastercard / Visa / American Express
Credit Card Number:	
Expiry Date:	
CVV:	
Amount:	\$400
Cardholder Name:	