

Minimum Standards & Guidelines of Equine Welfare

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Preface

Racing NSW is committed to the welfare of thoroughbred horses from birth, during their racing careers and on retirement. This commitment is supported by the Australian Racing Rules and Local Rules of Racing to ensure the welfare of Thoroughbred horses.

The welfare of horses is also underpinned by legislation, namely the Prevention of Cruelty to Animals Act 1979 (NSW), ('The Act'). The objects of The Act are:

- (a) to prevent cruelty to animals, and
- (b) to promote the welfare of animals by requiring a person in charge of an animal:
 - · to provide care for the animal;
 - to treat the animal in a humane manner; and
 - to ensure the welfare of the animal.

The Act establishes obligations relating to the care of animals in general terms. These Minimum Standards and Guidelines of Equine Welfare ('The Standards') have been prepared by Racing NSW, to define the minimum standards expected for the care of thoroughbred horses in the Racing industry to promote education regarding welfare practises, avoid cruelty, and reflect expectations in the community. The Standards also include "guidelines" to encourage the industry towards best practice in ensuring horse welfare. The guidelines within this document, whilst not compulsory, should be implemented wherever feasible.

Introduction

These Standards detail the minimum standards of welfare for horses that "persons in charge" (e.g. Owners, Trainers, Breeders) of horses must comply with in the NSW Thoroughbred Racing Industry.

These Standards, in general terms, address:

- provision of appropriate nutrition and water
- freedom of movement and exercise
- provision of appropriate accommodation
- protection from disease
- identification and treatment of injury and disease.
- sales, auctions and re-homing
- · euthanasia and death

Ultimately, these Standards seek to affirm and ensure the provision of the Five Freedoms of Animal Welfare:

- Freedom from hunger and thirst by ready access to fresh water and diet to maintain health and vigour;
- 2. **Freedom from discomfort**, by providing an appropriate environment including shelter and a comfortable resting area;
- 3. Freedom from pain, injury or disease by prevention or rapid diagnosis and treatment;
- 4. **Freedom to express normal behaviour** by providing enough space, proper facilities and company of the animal's own kind;
- 5. **Freedom from fear and distress** by ensuring conditions and treatment that avoid mental suffering.

Persons in charge of horses must possess knowledge of the basic welfare requirements of horses to implement the contents of The Standards effectively.

Given that responsibility for a horse may be shared between several people, it is important that there is clarity as to who is directly responsible for the horse at any one time. The person in charge must take reasonable steps to ensure that the horse will be managed in accordance with the *minimum standards* whilst in the care of others e.g. Agistment providers.

The Standards are not an exhaustive source for equine welfare and management. Detailed and specific advice should be sought from appropriate resources, including but not limited to skilled Equine Veterinarians, other evidence-based equine subject matter experts and the Stewards.

Incorporated herein are Minimum Standards which are in bold and referenced **\$1.1** for example, and also Guidelines which are referenced G1.1 for example.

1. Supervision

Minimum Standards

- S 1.1 A person in charge of the supervision of a horse must be able to recognise signs of ill health and have access to a registered veterinary practitioner.
- S 1.2 Horses must be inspected at an appropriate frequency to ensure their welfare needs are met at all times.
- S 1.3 Horses kept in stables and yards, or without access to forage, should be inspected, fed and watered at least twice a day.

Guidelines

- **G 1.1** Where horses are kept at unoccupied premises, the contact details of the person in charge of the horse should be clearly displayed or readily accessible.
- **G1.2** Contingency plans should be in place to ensure a prompt response to emergency situations such as fire, flood, disease, injury and unexpected absences of the person in charge.
- **G 1.3** Aged, injured and ill horses and mares late in pregnancy should be inspected frequently to ensure their welfare needs are met.
- **G 1.4** Persons in charge of a horse should stay abreast of current, evidence-based best practice in horse welfare.

2. Water

Minimum Standards

- S 2.1 Horses must have free access to an adequate amount of water to meet their physical needs. Water must be of a quality suitable for equine consumption.
- S 2.2 Water containers must be clean, functional and inspected at least daily or at a frequency that ensures that animals will not go without water before a failure in an automatic system is detected.

S 2.3 Where water is supplied in buckets, the supply must be inspected at least every 12 hours.

Guidelines

- **G 2.1** Water volume requirements of horses vary widely, depending on age, bodyweight, air temperature and humidity, workload, health and the diet of the horse. A thoroughbred may require more than 60 litres per day when in work and more if pregnant, lactating or suffering from illness.
- **G 2.2** Dams should have safe access for horses and be of a suitable quality for drinking.

3. Food

Minimum Standards

- S 3.1 Horses must be provided with a diet of sufficient quantity and quality to maintain good health, growth, body condition and meet their physiological requirements.
- S 3.2 Horses must not be deprived of access to food for more than 12 hours.

Guidelines

- **G3.1** All endeavours should be made to maintain a horse's body score at a suitable level (see Appendix A), and veterinary advice sought if attempts to maintain condition fail.
- **G 3.2** Appropriate feed troughs for horses should be utilised and well spaced to minimise bullying and allow subordinate animals access to feed. Horses that are in lighter condition should be segregated from the main group for feeding if necessary.
- **G 3.3** If a horse fails to thrive, the quantity, quality and availability of feed and the health of the horse (including the state of its teeth, the extent of parasitism and the horse's age) should be evaluated.
- **G 3.4** Roughage (fibrous feed such as pasture, hay and chaff) should form a significant part of a horse's diet, to provide enough bulk and fibre to enable the digestive system to function properly. Horses need at least 1% of their body weight in roughage daily. If fed processed feed or grains, these should be offered in addition to the appropriate amount of roughage.
- **G 3.5** The diet of young, growing horses and lactating mares should be designed with special consideration for their additional needs.
- **G 3.6** Horses should be protected from access to food harmful to health, such as mouldy hay, lawn mower clippings and toxic plants.

4. Health and Veterinary

Minimum Standards

S 4.1 In accordance with LR 82C (1-3) Veterinarians attending to horses under the care of a licensed trainer in NSW must be registered and have been issued with a permit by Racing NSW, unless in an emergency situation.

- S 4.2 In accordance with NSWLR114(2)(d) a registered owner, trainer or any person in charge of or that has in his or her possession, control or custody any horse must ensure that the horse is provided with veterinary treatment, where such treatment is necessary.
- S 4.3 In accordance with NSWLR114(3) a registered owner, trainer or any person in charge of or that has in his or her possession, control or custody of any horse, must ensure that they exercise reasonable care, control and supervision as may be necessary, to prevent any such horse from being subject to cruelty or unnecessary pain or suffering.
- S 4.4 Horses that are sick, suffering, injured, diseased or that have unexplained weight loss must be provided with prompt veterinary or other appropriate treatment.
- S 4.5 Horses must be vaccinated against disease in accordance with the Rules of Racing and local veterinary advice
- S 4.6 Veterinary advice must be sought immediately if a horse:
 - demonstrates acute abdominal pain or colic seen as pawing, kicking at the stomach or rolling, often accompanied by straining, teeth-grinding and sweating
 - sustains serious injuries e.g. deep wounds, severe haemorrhage, severe or unexplained lameness or eye injuries
 - strains for more than 30 minutes when foaling
 - is unable to, or has difficulty rising or standing
 - show signs of laminitis, including lameness, increased pulse to the affected hooves, reluctance to stand on affected feet and reluctance to move
 - · demonstrates neurological symptoms
 - · demonstrates signs of acute respiratory illness.
- S 4.7 Veterinary advice must be sought as soon as possible if the horse has:
 - retained foetal membranes
 - lameness that does not rapidly resolve
 - signs of respiratory disease such as discharge from eyes, nostrils, or swollen lymph nodes under the throat; these are indications of respiratory disease and may be accompanied by a cough, fever, loss of appetite
 - diarrhoea or persistent weight loss
 - constipation
 - persistent skin conditions
 - inactivity or unresponsiveness.
 - persistent elevated temperature (ie. > 38.5 degrees C)
- S 4.8 Veterinary advice must be sought regarding the appropriate level of exercise for horses demonstrating symptoms or signs listed in S4.6 and S4.7 above.
- S 4.9 Veterinary advice must be sought for the administration of medication, supplements or substances to ensure the horse's welfare and compliance with Rules of Racing.
- S 4.10 A program to control internal parasites, such as drenching, worming or regular faecal egg count should be conducted. Worming products must be registered for equine use.

Guidelines

G 4.1 Early treatment of external parasites such as lice, should be practiced.

- **G 4.2** Measures should be taken to control spread of disease. Prompt appropriate preventive treatment should be given to horses for diseases that may be common in a district or occurring in a herd.
- **G 4.3** Wherever possible, a new horse to a property should be quarantined away from the established group for 10-14 days to reduce the risk of introducing disease.
- **G 4.4** Consideration should be given for assessment and treatment (in addition to that provided by a Veterinarian) by evidence based adjunctive allied health practitioners e.g. Physiotherapists to optimise a horse's recovery from training and injury.
- **G 4.5** Horses should be vaccinated for Tetanus at least annually. It is recommended that Strangles vaccination occur on a 6-monthly basis. Vaccination advice should be sought from a veterinarian.
- **G 4.6** Persons working with horses should be familiar with the non-specific signs of the Hendra Virus such a rapid onset of illness, increased body temperature, colic like discomfort, rapid deterioration of either respiratory or nervous signs. All horse facilities in NSW should conduct a risk assessment of their property by not feeding or watering their horse under trees, avoiding fruit trees in and around horse yards, restrict grazing from under trees and consider implementing a vaccination protocol, where deemed appropriate. In high risk areas such as Northern NSW personal protective kit and decontamination procedures should be readily accessible. Additional Hendra virus information can be sought from the NSW DPI or a registered veterinarian.

5. Treatment and Surgical Procedures

Minimum Standards

S 5.1 Surgical procedures on horses of any age must only be conducted by a registered Veterinarian.

Guidelines

G 5.1 Treatments and medications should be administered in accordance with the manufacturer's or veterinarian's instructions, in compliance with the relevant Commonwealth and state legislation.

6. Housing, Shelter Structures and Yards

Minimum Standards

In accordance with NSWLR114(2)(c) and LR114(5)(b) all horses must kept in a stable and/or paddock that meets the minimum standards below prescribed by Racing NSW.

- S 6.1 Premises must be designed and maintained to minimise the risk of injury, disease and escape.
- S 6.2 Horses must not be overcrowded in confinement. There must be adequate space for each horse to separate himself or herself from the group.
- S 6.3 Yards and stables must have sufficient room to allow the horse to stand freely in the normal position with the head fully raised, walk forward and turn, lie down, roll, stretch and groom themselves without restriction.

- S 6.4 Appropriate clean bedding must be provided in stables for warmth, insulation and protection from abrasion.
- S 6.5 Horses must have access to adequate shelter to the satisfaction of Racing NSW, relevant to the weather conditions, environment and the condition of the horse. Shade must be provided in hot conditions. Extra care must be taken for young foals, very old, and sick horses.

Guidelines

- **G 6.1** Stables for horses should provide a floor area of at least 3.5 x 3.5 metres and be at least 2.4 metres high.
- **G 6.2** Stable design should allow a horse to achieve visual contact with other horses, humans and activities in the stable surroundings.
- **G 6.3** Accommodation of horses in stables is not likely to meet the full spectrum of horses' behavioural and social needs. If stabling is used, provision of exercise, time out at pasture, a high fibre diet, social interaction, and stimulation through environmental enrichment may reduce stress, boredom and the risk of stereotypic behaviour.
- **G 6.4** Stables and stalls should have adequate lighting and ventilation. Dust levels should be kept to a minimum.
- **G 6.5** The floors of yards, stables and stalls should have surfaces that permit adequate drainage and allow horses to stand and walk normally. Manure, soiled bedding and stale or contaminated feed and water should be removed daily.
- **G 6.6** Adequate fire-fighting equipment, appropriate to fuel types should be available, visible and easy to access.
- **G 6.7** Paddocks and yards should be kept free of noxious plants, rubbish and debris that may injure horses.
- **G 6.8** Steps should be taken to minimise the effects of climatic extremes and other factors producing either cold or heat stress.
- **G 6.9** Efforts should be made to effectively control of pests including ticks, flies, lice, mosquitoes and rodents
- **G 6.10** Appropriate facilities to segregate horses e.g. For quarantine purposes should be available to prevent the spread of disease.

7. Fencing and Gates

Minimum Standards

S 7.1 Fencing should be designed and maintained to minimise the risk of injury to horses and prevent escape.

Guidelines

- **G 7.1** Permanent and temporary fences should be visible to horses and properly maintained to adequately confine horses. Post and rail, and electric fencing are recommended for the safe containment of horses. Barbed wire and high tensile wire can cause severe injury to horses and should not be used for yards and small areas. Star pickets should be capped to minimise the risk of impalement.
- **G7.2** Alleys and stand-off fencing can reduce injuries caused by entanglement in fencing as it restricts contact between horses.
- **G7.3** The strength and height of the fencing is particularly important for stallion enclosures. Fencing should prevent escape and minimise risk to the stallion, other horses, handlers and the general public.
- **G7.4** Gateways should be designed to give easy and safe passage of horses. Gates should be a minimum of 1.2 metres wide, without projections that may cause injury and have secure fastenings to prevent escape.

8. Foot Care

Minimum Standards

- S 8.1 Horses' feet must be maintained to permit normal mobility and to maintain hoof shape and function.
- S 8.2 Horses with a hoof injury, overgrowth, infection or laminitis must be provided with veterinary, farriery or other appropriate treatment.

Guidelines

- **G 8.1** Horses' feet should be inspected at least every six weeks and regularly trimmed or shod by a farrier or other competent person.
- **G 8.2** Shoeing or trimming should not cause any abnormality of gait or conformation. Shoeing should be practised only by farriers or trainees under the supervision of a farrier.

9. Dental Care

Minimum Standards

- S 9.1 Horses must be assessed periodically by a person suitably skilled and experienced in equine dentistry. The frequency of assessments should be increased for young, stabled and aged horses.
- S 9.2 A person must not provide any dental treatment that causes modification, damage or injury which results in a negative impact on the welfare of the horse.
- S 9.3 Dental procedures likely to cause unreasonable pain must be performed with suitable analgesia or anaesthesia and under the supervision of a registered Veterinarian.

Guidelines

G9.1 Typically, an adult horse should have a dental assessment at least twice annually.

10. Exercise

Minimum Standards

- S 10.1 Horses confined to a stable or small yard (e.g. where they are unable to trot freely) must be exercised at least once daily, except where the exercise may be detrimental to the health and welfare of the horse.
- S 10.2 Horses must not be overworked. The workload imposed must not exceed the horse's ability for its age, size, strength, conformation, fitness, and level of education.
- S 10.3 Horses must not be exercised if they are showing signs of lameness or sickness, until such time that the have been inspected and cleared to exercise by a registered veterinarian.
- S 10.4 Horses on mechanical exercising devices, including but not limited to walking machines and treadmills, must be adequately supervised by a competent operator to enable prompt action in the event of an incident.

Guidelines

- **G 10.1** Rider's size and weight should be appropriate for the size and condition of the horse.
- **G 10.2** Horses that are confined to stables or small yards should be spelled in larger paddocks periodically.
- **G 10.3** When introducing new or spelled horses to exercise, their workload should be increased gradually to prevent injury and stress.

11. Behavioural Needs

Minimum Standards

S 11.1 The design of facilities, the stocking density and the composition of groups of horses must allow each horse to have an area of its own, sufficient for subordinate horses to escape bullying by dominant animals in the group.

Guidelines

- **G 11.1** Horses are social animals and should not, wherever possible, be kept in isolation
- **G 11.2** Colts, stallions, weanlings, pregnant and sick animals, or those in advanced age, may require segregation from other groups of horses, to reduce the risk of injury and disease.
- **G 11.3** Persons responsible for a horse displaying stereotypical behaviour e.g. weaving, windsucking, self-mutilation should provide appropriate intervention therapy based on accepted industry practice or veterinary advice.

12. Training

Minimum Standards

S 12.1 Sufficient training should be provided in the early education of a horse, to enable the horse to conduct their work with minimal anxiety, free from fear and distress. The

education process should aim to produce a relaxed, compliant horse when handled on the ground, under saddle and during transport. Investment in this foundation training will assist the horse and its handlers throughout its racing life and career beyond racing.

- S 12.2 Horses must not be trained if they are showing signs of lameness or sickness, until such time that the have been inspected and cleared to be trained by a registered veterinarian.
- S 12.3 Training methods and equipment must be humane and must not cause unreasonable pain or suffering to the horse. Equipment must comply with the National Register of Approved Gear or be approved by the Stewards. The use of stockwhips is strictly prohibited.
- S 12.4 Horses must not be beaten or abused.
- S 12.5 Persons engaged in the education and training of horses must be competent, or under direct supervision of a competent person and must be aware of these minimum standards.
- S 12.6 The use of electrical devices, such as cattle prodders or electronic anti-crib collars is not acceptable and their use is strictly prohibited by the Australian Rules of Racing.

Guidelines

- **G 12.1** Veterinary attention should be sought to rule out medical causes of persistent undesirable behaviour.
- **G 12.2** People training horses should be patient and confident, and instil confidence in the horses they train. Horse trainers should stay abreast of developments in Equine Behavioural Science to enable them to apply best practice principles.
- **G 12.3** Horses should only be given training schedules that are suited to their physical capabilities or level of maturity.
- **G12.4** All saddlery, harness and other equipment used with horses should be of sound condition, clean, well-fitting, correctly adjusted and must not compromise the welfare of the horse. Professional advice should be sought in fitting the saddle and other equipment if the owner/handler is not competent to do so.

13. Agistment

Minimum standards

- S 13.1 The person responsible for a horse must take reasonable steps to source a reputable agistment provider that will care for the horse in accordance with The Standards.
- S 13.2 All minimum standards prescribed in this code must be achieved by the agistment property.
- S 13.3 An agreement defining the conditions of the agistment should be made between the horse owner or trainer and the agistment provider. This agreement should include the provision of veterinary care and the notification of relevant persons should the horse's welfare be at risk.

14. Restraint

Minimum Standards

S 14.1 Equipment and the method used to restrain horses must not cause injury or distress. A restrained horse must be under supervision.

Guidelines

- **G 14.1** Effective management and treatment of horses involves using various forms of restraint. The method should take into consideration the temperament, disposition and previous learning experience of the particular horse, the nature of the management procedure, and the skill of the handler.
- **G 14.2** Tethering and hobbling of horses is inherently dangerous and should only be a short-term practice used in exceptional circumstances. Tethered and hobbled horses must be kept under direct supervision.

15. Rugging

Minimum Standards

- S 15.1 Rugging must be appropriate for the weather conditions and not result in heat stress to the animal.
- S 15.2 Horses wearing rugs must be inspected daily for any injury or entanglement caused by the rug.
- S 15.3 Rugs must be removed daily for inspection of the horse's body condition, skin health and rubbing or injury caused by the rug.

16. Breeding

Minimum Standards

- S 16.1 Behaviour management of horses during breeding, including for dangerous stallions, must not cause unreasonable or unjustifiable pain, suffering, distress or injury.
- S 16.2 Facilities used for breeding must be constructed and maintained to minimise potential for injury to the horses.
- S 16.3 Mares selected for breeding must be considered fit for this purpose so as not to cause unnecessary suffering.

Guidelines

- **G 16.1** Indiscriminate breeding of horses and the breeding of horses of a type or temperament unsuitable for specific purposes should be avoided.
- **G 16.2** Known or potentially inherited conditions should be taken into account when selecting horses for breeding.

- **G 16.3** Where stallion behaviour endangers handlers or mares, the behaviour should be modified using an appropriate training method by a competent person. Stallion behavioural problems may be minimised by training from a young age.
- **G 16.4** Appropriate nutrition should be provided to the pregnant mare, inclusive of lactation.
- **G 16.5** Frequency of monitoring of mares should increase as foaling becomes imminent.
- **G 16.6** Mares should be monitored perinatally to ensure they pass the placenta within three hours.
- **G 16.7** Foals should be monitored perinatally to ensure meconium expulsion, urination and suckling are normal and that colostrum intake occurs.
- **G 16.8** Foals orphaned at birth and under human care, should receive colostrum or a substitute within 24 hours of birth.
- **G 16.9** Foster mares should be supervised until they have accepted the foal.
- **G 16.10** Typically, foals should not be weaned before four months of age.

17. Identification

Minimum Standards

- S 17.1 Horses must be freeze branded for registration by the Australian Stud Book. This should be conducted by a person competent in this task.
- S 17.2 Microchips must be inserted by a registered and authorised Veterinarian.

Note: These standards refer to welfare requirements only, refer to Australian Racing Rules for identification protocols for registration purposes.

Guidelines

- **G 17.1** Microchipping, freeze-branding and DNA profiling are acceptable methods of permanent identification.
- **G 17.2** Horses should be permanently identified in accordance with the requirements as set down by Racing Australia.

18. Transport

Minimum Standard

- S 18.1 Horses must be transported in accordance with Prevention of Cruelty to Animals (Land Transport of Livestock) Standards 2013 No 2. This standard stipulates requirements such as frequency of watering and maximum journey times.
- S 18.2 The vehicle must be designed for transporting horses safely and comfortably, and regularly inspected and maintained to ensure it remains fit for purpose.

19. Auctions, Sale Yards and Re-homing Minimum Standards

S 19.1 NSWLR114(5)(e) provides that a horse is not to be sold/gifted at a livestock auction not approved by Racing NSW.

S 19.2 Should the auction or saleyard be approved by Racing NSW, horses held at saleyards must be dealt with in accordance with The Standards of Practice for the Sale of Thoroughbred Bloodstock in NSW – Livestock Auctions.

S 19.3 Racing NSW will publish, from time to time, a list of approved sale yards and auctions.

S 19.4 Persons responsible must satisfy themselves when selling or re-homing a horse that the horse will be cared for in accordance with the minimum standards in this Code.

S 19.5 Persons in charge of a horse must issue a Bill of Sale to the new owner of a horse and retain a copy for their own records.

20. Euthanasia

Minimum Standards

S 20.1 In accordance with NSW LR114(4) a registered owner, trainer or any person in charge of or that has in his or her possession, control or custody a horse is not to euthanize or destroy a horse unless a registered veterinary surgeon has certified that it is necessary on welfare and safety grounds.

S 20.2 Euthanasia of a horse must be reported to Racing NSW using the approved form, including veterinary certification as to the cause of death or reason for euthanasia.

S 20.3 In the event that a registered Veterinarian certifies, in accordance with the provisions of NSW LR114(4), that a horse should be euthanized or destroyed, the euthanasia must be performed by a registered veterinary surgeon; or if this is not possible, by a person experienced in the procedure, competent in the method used and licensed where appropriate.

S 20.4 Euthanasia must be performed humanely. The person in charge of the animal must ensure that the method results in immediate death or immediate loss of consciousness followed by death while unconscious.

S 20.5 A person humanely euthanising an animal must take reasonable action to confirm the animal is dead or to ensure death.

S 20.6 Acceptable methods of euthanasia include:

- In the first instance, rapid intravenous injection of overdose of barbiturates by a veterinarian.
- Where this is not possible, utilising a firearm by a suitably experienced and licensed person.

S 20.7 NSW LR114(5)(e) provides that a horse must not be, directly or indirectly, sent to an abattoir, knackery or similarly disposed of.

21.Deceased Horses

Minimum Standards

S 21.1 A death of a horse must be reported using the Retirement of a Racehorse or Death Notification Form

Guidelines

G 21.1 Persons should not handle the carcass of a dead horse (where the cause of death is not clear) without wearing appropriate personal protective equipment to minimise the risk of contracting a serious zoonotic disease.

Appendix A: Body Condition Scoring

Body condition scoring provides a useful and objective method of monitoring body condition. Body condition, expressed as fat coverage, is the most reliable indicator of the suitability of a horse's diet.

Poor body condition is not always due to lack of feed; it could be related to parasite infestations, poor dental health, chronic injury or illness, advanced age, or lack of mobility affecting the horse's ability to forage.

Method of estimation

- Assess visually and by feel, the horse's pelvis and rump, back and ribs and neck.
- Give those areas individual scores using a scale of 0 (very poor) to 5 (very fat).
- Intermediate assessments can be given half scores.
- Using the pelvic and rump assessment as the base score, adjust that score by a half point if it differs by 1 or more points from the score for the neck or ribs.

Score	Horse Shape	Description
0: Very poor		very sunken rump deep cavity under tail skin tight over bones very prominent backbone and pelvis marked U shaped neck
1: Poor		sunken rump cavity under tail ribs easily visible prominent backbone and croup U shaped neck – narrow and slack
2: Moderate		flat rump either side of backbone ribs just visible narrow but firm neck backbone well covered
3: Good		rounded rump ribs just covered but easily felt no crest, firm neck

Score	Horse Shape	Description
4: Fat	Comment of the second of the s	rump well rounded gutter along spine ribs and pelvis hard to feel slight crest
5: Very fat		very bulging rump deep gutter along spine ribs buried marked crest folds and lumps of fat

Appendix B: NSW Local Rule 114

EQUINE WELFARE (Effective 1.10.17)

NSWLR 114.

- (1) The purpose and objective of this Local Rule 114 is to ensure the welfare of thoroughbred horses from birth, during their racing careers and on retirement.
- (2) A registered owner, trainer or any person that is in charge of or has in his or her possession, control or custody of any horses (Eligible Horses, Unnamed Horses and Named Horses) must ensure that any such horses are provided at all times with:
 - (a) proper and sufficient nutrition and water;
 - (b) proper exercise;
 - (c) stabling and paddocks of a standard approved by Racing NSW, which are adequate in size, which are adequately maintained and kept in a clean and sanitary condition;
 - (d) veterinary treatment where such treatment is necessary or directed by Racing NSW.
- (3) A registered owner, trainer or any person that is in charge of or has in his or her possession, control or custody of any horses (Eligible Horses, Unnamed Horses and Named Horses) must exercise reasonable care, control and supervision as may be necessary to prevent any such horse from being subject to cruelty or unnecessary pain or suffering.
- (4) A registered owner, trainer or any person that is in charge of or has in his or her possession, control or custody of any horses (Eligible Horses, Unnamed Horses and Named Horses) is not to euthanize or destroy a horse (or permit a horse to be euthanized or destroyed) unless a registered veterinary surgeon has certified in writing that it necessary on welfare or safety grounds or for reasons approved in writing by Racing NSW or unless under extreme circumstances where it is necessary for a horse to be euthanized immediately and the decision is subsequently confirmed by a veterinary surgeon.
- (5) Further to AR64JA(1), where a decision has been made to retire a horse, or not to commence racing an Eligible Horse, and that horse has been domiciled in New South Wales for the majority of its life:
 - (a) the Manager, in addition to any forms to be lodged with Racing Australia pursuant to AR64JA(1), is to lodge with Racing NSW the Retirement Notification form prescribed by Racing NSW, such form to includes details of the retirement option, where that horse will be located and contact details of the new owner (if that horse is being transferred to a new owner);
 - (b)(i) that horse is not to be transferred to a location which does not meet minimum standards prescribed by Racing NSW to ensure the ongoing welfare of that horse and the owners are required to provide Racing NSW with all information and assistance (including access to the proposed location) in order to enable Racing NSW to assess that those minimums standards are met;
 - (b)(ii) that horse is not to be transferred, sold or gifted upon retirement or thereafter, to a person who is placed on the Racing NSW Excluded List for rehoming of thoroughbreds.

- (c) the owners are to make all reasonable endeavours to find a home for that horse that meets Racing NSW's minimum standards in any of the following options:
 - (i) breeding purposes;
 - (ii) equestrian, working, pleasure or companion horse;
 - (iii) any other option approved by Racing NSW
- (d) in the event that the owners are unable to find a home for that horse, having used all reasonable endeavours, then the remaining options for that horse are:
 - (i) an official retirement or retraining program (either operated by Racing NSW or approved by Racing NSW in writing);
 - (ii) any other option that ensures the ongoing welfare of the horse approved by Racing NSW in writing;
- (e) the horse is not to be, directly or indirectly, sent to an abattoir, knackery or similarly disposed of;
- (f) the horse is not to be sold/gifted at a livestock auction not approved by Racing NSW; and
- (g) if that horse is in need of veterinary treatment (including ongoing veterinary treatment), it is not to be transferred to a new home until that veterinary treatment has been provided or Racing NSW is satisfied that it will receive that veterinary treatment.
- (6) Any person who fails to comply with LR114(1)-(5) commits a breach of these Rules and may be penalised.