



APPLICATION TO REGISTER A SYNDICATE (Company, Firm or Stud)

S

FEE \$250

COMPANY:

Name of Company hereby applies for approval under the Rules of Racing to race horses as a Syndicate

DATE: .../.../..... Under the Name:

Office Address

Postal Address

NOTE FOR COMPANY APPLICATION:

This form must be accompanied by a copy of the *ASIC COMPANY EXTRACT (dated within seven days of this application), the CONSTITUTION or CERTIFICATE OF INCORPORATION, and the prescribed fee. The syndicate must be renewed annually. It is the responsibility of the Registered Manager to advise the Registrar or Deputy Registrar of each horse part/owned/leased by this Company and supply the appropriate form and fee.

FIRM OR STUD:

Name of Firm of Stud hereby applies for approval under the Rules of Racing to race horses as a Syndicate

If Stud please state the number of mares returned in the last breeding season to the Australian Stud Book

NOTE FOR FIRM APPLICATION:

This form must be accompanied by a copy of the Business name registration and the prescribed fee. The syndicate must be renewed annually. It is the responsibility of the Registered Manager to advise the Registrar or Deputy Registrar of each horse part/owned/leased by this Company and supply the appropriate form and fee

The Registered Manager of the Syndicate is

Representatives/...../.....

Details of any other application for, or registration of, this Syndicate with any other Racing Authority

Please circle: Visa Mastercard
Card Number
Expiry Date CVN Amount \$
Cardholder's Name:
Signature of Cardholder:

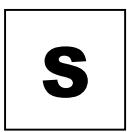
PLEASE RETURN TO THE BELOW ADDRESS:
Level 7, 51 Druitt St, Sydney NSW 2000
Ph: (02) 9551 7500
Fax: (02) 9551 7587
Email: syndicates@racingnsw.com.au
Website: www.racingnsw.com.au

Office Use Only: Cash/Cheque/Money Order/Credit Card
Amount \$
Date Received:
Date Processed:
Registration Officer:

ALL DIRECTORS AND OFFICERS (Company) / MEMBERS (Firm or Stud) OF THE SYNDICATE must complete their details below.

Please ensure you have read the Personal Information and Privacy Statement prior to signing this form which includes reference to the Rules of Racing.

By signing this Application you are bound by the Australian Rules of Racing and the Personal Information and Privacy conditions as attached



FEE \$250

SYNDICATE MANAGER DETAILS: PLEASE CIRCLE ONE: DIRECTOR OFFICER MEMBER/SHAREHOLDER

Title: Surname:

Given Names:

Postal Address:

Suburb: Post Code:

Occupation:

Email Address:

Date of Birth:

Phone Number:

Mobile Phone:

Shares Held

* SIGNATURE

* By signing this Application you are bound by the Australian Rules of Racing and the Personal Information and Privacy conditions as attached.

MEMBER DETAILS: PLEASE CIRCLE ONE: DIRECTOR OFFICER MEMBER/SHAREHOLDER

Title: Surname:

Given Names:

Postal Address:

Suburb: Post Code:

Occupation:

Email Address:

Date of Birth:

Phone Number:

Mobile Phone:

Shares Held

* SIGNATURE

* By signing this Application you are bound by the Australian Rules of Racing and the Personal Information and Privacy conditions as attached

MEMBER DETAILS PLEASE CIRCLE ONE: DIRECTOR OFFICER MEMBER/SHAREHOLDER

Title: Surname:

Given Names:

Postal Address:

Suburb: Post Code:

Occupation:

Email Address:

Date of Birth:

Phone Number:

Mobile Phone:

Shares Held

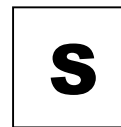
* SIGNATURE

* By signing this Application you are bound by the Australian Rules of Racing and the Personal Information and Privacy conditions as attached

ALL DIRECTORS AND OFFICERS (Company) / MEMBERS (Firm or Stud) OF THE SYNDICATE must complete their details below

Please ensure you have read the Personal Information and Privacy Statement prior to signing this form which includes reference to the Rules of Racing.

By signing this Application you are bound by the Australian Rules of Racing and the Personal Information and Privacy conditions as attached



FEE \$250

MEMBER DETAILS

PLEASE CIRCLE ONE: DIRECTOR

OFFICER

MEMBER/SHAREHOLDER

Title: Surname:

Given Names:

Postal Address:

Suburb: Post Code:

Occupation:

Email Address:

Date of Birth:

Phone Number:

Mobile Phone:

Shares Held

* SIGNATURE

*** By signing this Application you are bound by the Australian Rules of Racing and the Personal Information and Privacy conditions as attached**

MEMBER DETAILS

PLEASE CIRCLE ONE: DIRECTOR

OFFICER

MEMBER/SHAREHOLDER

Title: Surname:

Given Names:

Postal Address:

Suburb: Post Code:

Occupation:

Email Address:

Date of Birth:

Phone Number:

Mobile Phone:

Shares Held

* SIGNATURE

*** By signing this Application you are bound by the Australian Rules of Racing and the Personal Information and Privacy conditions as attached**

MEMBER DETAILS

PLEASE CIRCLE ONE: DIRECTOR

OFFICER

MEMBER/SHAREHOLDER

Title: Surname:

Given Names:

Postal Address:

Suburb: Post Code:

Occupation:

Email Address:

Date of Birth:

Phone Number:

Mobile Phone:

Shares Held

* SIGNATURE

*** By signing this Application you are bound by the Australian Rules of Racing and the Personal Information and Privacy conditions as attached**

Appointment of Manager

The manager of a horse is the first named person recorded on the Horse Registration form or the Named Racehorse Transfer of Ownership form. If the first named owner is a registered syndicate, the syndicate manager is the manager of the horse.

The manager acts for and represents the other co-owners of the horse, as described in the Australian Rules of Racing (available at http://www.racingaustralia.horse/FreeServices/Australian_Rules_Of_Racing.aspx) and the Racing Australia Co-owner Agreement (COA)(available at <https://tor.racingaustralia.horse/co-owner-agreement/>).

The COA sets out the manager's rights and obligations for acting on behalf of the co-owners. While the manager may make most day-to-day decisions in their discretion, significant decisions regarding the horse require the approval of co-owners with a certain percentage of ownership and/or a set process be followed. For example, under the COA, the manager may sell the whole of a horse and seek to process a transfer of ownership on behalf of all co-owners if the manager has given the co-owners reasonable notice of the proposed sale and obtained consent from the co-owners representing a majority interest in the horse.

The manager may sign and/or lodge any future Named Racehorse Transfer of Ownership form and/or Change of Share % form on behalf of the remaining owners if there is no change to their share percentage. The manager must notify all remaining owners of the transfer in advance.

Fitness and Propriety of Applicants

All individuals, including registered syndicate members, who are applying to hold a share or interest in the ownership of a horse are required to notify RNSW if they have been:

- a) convicted of, or have a pending charge against them for, an indictable criminal offence or a criminal offence involving violence against a person or dishonest activity;
- b) convicted under the Australian Rules of Racing, the Local Rules of a PRA, or the rules of any other racing authority of any code; or
- c) convicted of, or have a pending charge against them for, an offence under any animal welfare/prevention of cruelty to animals legislation.

Details of the offence must be submitted in writing prior to the Application to Register and Ordinary Syndicate being lodged. Further, an owner must within 14 days notify RNSW of any of the above charges or convictions if they arise following a Syndicate being registered. This may result in the owner having to relinquish their interest in any horses they own (partly or wholly).

If an individual fails to truthfully and correctly (or at all) provide the required information, the application/lease and any other application/registration/transfer concerning the individual may be refused or cancelled or other penalties incurred.

Rules of Racing

As a condition of acceptance of an Application to Register and Ordinary Syndicate, all members must familiarise themselves with, and agree to be bound by and comply with, the Australian Rules of Racing and the Local Rules, Regulations, By-Laws and Conditions of the PRAs and RIBs (where applicable).

The Australian and Local Rules of Racing can be viewed at www.racingnsw.com.au.

Privacy and Personal Information

Your Personal Information

The personal information collected by RNSW during the transfer process includes an owner's name, birth date, address, email, mobile, GST status and bank account details. This information is required to:

- (a) identify owners;
- (b) assess a person's eligibility as an owner;
- (c) facilitate the administration of racing;
- (d) communicate with the owner; and
- (e) enable payment of prize money.

Where information is not provided, an Application to Register and Ordinary Syndicate may be refused or delayed until the required information is provided.

RNSW or RA may also provide an owner's contact details to the manager to enable the manager to contact owners in relation to matters concerning the horse. For example, to vote on a decision to change the horse's trainer, which requires majority consent under the COA.

An owner's personal information may also be used or disclosed by RNSW in accordance with RNSW's Privacy Policy (available at <https://www.racingnsw.com.au/wp-content/uploads/RNSW-Privacy-Statement.pdf>). These policies also set out how owners can access and seek correction of their personal information, as well as how to make complaints regarding handling of their personal information. Please contact RNSW in this regard.

Intellectual property rights

Due to the essential role that RA plays in administering, promoting and reporting on Thoroughbred racing, as well as providing racing materials, RA must be able to freely use all intellectual property rights (including but not limited to copyright) that may subsist in the name, image, jockey silks and other indicia associated with horses registered to race in Australia.

In order to allow RA to use these intellectual property rights without impediment, as a condition of and in consideration for horse registration, owners must agree that RA owns all right, title or interest (including but not limited to copyright, goodwill and reputation) in the name, image, jockey silks or other indicia associated with that horse, whether existing before or after horse registration. Further acknowledgements and obligations follow from such agreement by owners – these are set out in AR 40.

Return completed forms and fee to: Racing NSW

Level 7, 51 Druitt Street
Sydney NSW 2000
Phone 02 9551 7500
Fax 02 9551 7587
Email syndicates@racingnsw.com.au