

Complete section 2 to 10 below:

2

Licensing Details			
State	Licensing Authority	Years in which Licensed	Licence No

3

Are you currently under any ban/restriction imposed by any Racing Authority?

No Yes

4

Are there any charges pending against you, or any open or unfinished inquiries, investigations or matters before any Racing Authority involving you?

No Yes

5

Have you ever had a licence disqualified, revoked, suspended, withdrawn, refused by any Racing Authority?

No Yes

6

Have you ever been proceeded against for Bankruptcy and/or have you/they taken advantage of the laws of Bankruptcy or Insolvency in force for the time?

No Yes

7

Have you ever been convicted of any indictable offence or banned as a director by the Australian Securities and Investments Commission (ASIC)?

No Yes

8

Have you ever been involved in any activities associated with SP betting?

No Yes

9

Have you read and do you understand the document titled "Work Health & Safety Memorandum for Licensed Bookmakers in NSW?"

No Yes

10

Have you in the last 10 Years been charged with or convicted of a criminal offence, forfeited bail or are there any charges or civil proceedings pending against you?
(You may be required to provide a National Police Certificate)
***Please note condition b) of this licence: you are required to notify Racing NSW within 14 days if you are charged with or convicted of a criminal offence (LR51(2)) refers

No Yes

If you answer Yes to any questions from 3 to 9 please provide details

Conditions of Licence

In the event of such Licence being granted, I agree to be subject to and be bound by:

- a) The Rules of Racing (including the Local Rules of Racing and Betting) of each Principal Racing Authority as amended or varied by each Principal Racing Authority
- b) That I shall notify Racing NSW within 14 days if I am charged with a criminal offence, convicted of a criminal offence or am made bankrupt or I become the subject of a court judgement requiring payment to a racing industry participant or provider, and acknowledge that if I fail to comply with this condition or the other terms and conditions of my licence I may be penalised and/or stood down from my licensed duties and privileges (LR51 (2))
- c) The terms and conditions of licence and licence acknowledgements as published by each Principal Racing Authority
- d) Such rules and directions as may from time to time be formed, made or given by a Principal Racing Authority, the stewards of each Principal Racing Authority ("Stewards") or the officials of any racing club registered by each Principal Racing Authority to conduct thoroughbred racing under the Rules ("Club")
- e) The bookmaker submits to the non-exclusive jurisdiction of each Principal Racing Authority, its officials and Stewards in respect of all matters arising in relation to racing in the State or Territory of that Principal Racing Authority.
- f) That I shall not be exempted from personal liability arising under the Rules for or by any reason whatsoever.
- g) Not to make any public statement or comment concerning any matter currently the subject of investigation or hearing by the Principal Racing Authorities, or the Stewards, Committee or Appeals panel or other body authorised by each.
- h) To furnish, at the conclusion of every race meeting at which I operate, a certificate stating the gross amount of all bets made with me in respect of such meeting.
- i) To make and keep, in respect of each race meeting at which I operate, a true record of all my bets and to retain a legible copy of the same for a period of five (5) years from the date of the meeting to which the same relates, and provide a copy to the stewards at the completion of such race meeting or such earlier time as the stewards may direct.
- j) To authorise Racing NSW or its authorised agent, to inspect any documents, records, computer systems or recording device or other device in connection with my bookmaking activities.
- k) To maintain and keep a wages book, or other record, in which is set out the gross amount earned and net amount paid (with details of all deductions and holiday pay) each week to each member of my staff the term of my licence.
- l) To adhere to all Racing NSW directions and policies concerning the operation of my bookmaking business, including but not limited to the list of Racing NSW policies relating to Bookmakers and betting as displayed on the Racing NSW website www.racingnsw.com.au as amended.
- m) A bookmaker may only trade or operate under a name which is approved by Racing NSW, and if necessary registered with the Department of Fair Trading. Such approved name shall be displayed at all times on the Bookmakers stand, betting sheets, betting tickets, activity return forms and any other correspondence during the term of such licence.
- n) Subject to AR.278(1) if a licensed person is disqualified his or her licence immediately ceases and determines and he or she must make application to the Principal Racing Authority to be relicensed.
- o) A disqualified person is and remains bound by, and subject to, the Rules for the period of his or her disqualification.

PRIVACY AND THE USE, COLLECTION AND DISCLOSURE OF YOUR PERSONAL INFORMATION

The Principal Racing Authorities collect information about you when you submit this Application and in the course of related enquiries made of third parties for the purposes of the Thoroughbred Racing Act 1996 (NSW). The Principal Racing Authorities will use that information to assess your application and, if registration is approved, your ongoing status as a licensed person. To do that, the Principal Racing Authorities may disclose your information to third parties such as your employers (past, present or prospective), other racing bodies, appeal bodies, wagering service providers, industry associations and government enforcement agencies (including but not limited to law enforcement agencies) if the Principal Racing Authorities believe that the disclosure is reasonably necessary for your ongoing status as a licensed person or to enable PRAs to fulfil their regulatory responsibilities and/or promote and protect the integrity of the sport to ensure compliance with the Rules of Racing. The Principal Racing Authorities may also use or disclose information about you for a secondary purpose if they reasonably believe that the secondary use or disclosure is reasonably necessary for one or more enforcement related activities conducted by, or on behalf of, an enforcement body. You do not have to supply the information requested in this application, but if the information (or any part of it) is not provided your application may be rejected. You can gain access to and request that corrections be made to information held about you by the Principal Racing Authorities. By completing and submitting this application, you authorise the Principal Racing Authorities to collect, use and disclose information about you for the purposes described in this notice, including the usual publication of such information in race books, racing calendars and other publications that can include (but are not limited to) websites.

Intellectual property

I agree that the Principal Racing Authorities will own all intellectual property in the information I submit with and in connection with this application for, and the grant and future maintenance of, the Licence. I hereby assign to the Principal Racing Authorities (and warrant that I am authorised to provide and assign) all such intellectual property and information and acknowledge that the Principal Racing Authorities may use (including by collating, modifying, publishing and distributing) all such intellectual property and information as it sees fit including "Note: for Licensed Persons this information relates to the usual publication of material in race books, racing calendars, publications and websites etc. Any information outside this standard will not be released by Racing NSW without the written permission of the Licensed person.

Criminal History Record Check

All new licence applicants are subject to Criminal History Record checks. Existing licensees may also be requested to undergo such checks. The information contained in these records may lead to the licence application being refused.

Applicant acknowledges and warrants that:

- (a) the information contained in this application is complete, true, correct and not misleading (in either its content or by omission);
- (b) This application for the bookmaker's licence has been appropriately authorised and executed by or on behalf of the Applicant.

Individual

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Bookmaker (Signature):	Today's Date:
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Witness

Name & Signature	Today's Date
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